

# Harvest Healthcare Leaders Income ETF

HHL, HHL.B, HHL.U

Meeting Date Range: 01-Jul-2019 - 30-Jun-2020

## Proxy Voting Report

ABBOTT LABORATORIES	
<b>Security:</b> 002824100	<b>Agenda Number:</b> 935138570
<b>Ticker:</b> ABT	<b>Meeting Type:</b> Annual
<b>ISIN:</b> US0028241000	<b>Meeting Date:</b> 4/24/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	R.J. Alpern	Mgmt	For	For
2	R.S. Austin	Mgmt	For	For
3	S.E. Blount	Mgmt	For	For
4	R.B. Ford	Mgmt	For	For
5	M.A. Kumbier	Mgmt	For	For
6	E.M. Liddy	Mgmt	For	For
7	D.W. McDew	Mgmt	For	For
8	N. McKinstry	Mgmt	For	For
9	P.N. Novakovic	Mgmt	For	For
10	W.A. Osborn	Mgmt	For	For
11	D.J. Starks	Mgmt	For	For
12	J.G. Stratton	Mgmt	For	For
13	G.F. Tilton	Mgmt	For	For
14	M.D. White	Mgmt	For	For
2.	Ratification of Ernst & Young LLP as Auditors.	Mgmt	For	For
3.	Say on Pay - An Advisory Vote to Approve Executive Compensation.	Mgmt	For	For
4.	Shareholder Proposal - Lobbying Disclosure.	Shr	Against	For
5.	Shareholder Proposal - Non-GAAP Financial Performance Metrics Disclosure.	Shr	Against	For
6.	Shareholder Proposal - Shareholder Voting on By-Law Amendments.	Shr	Against	For
7.	Shareholder Proposal - Simple Majority Vote.	Shr	Against	For

ABBVIE INC.	
<b>Security:</b> 00287Y109	<b>Agenda Number:</b> 935152138
<b>Ticker:</b> ABBV	<b>Meeting Type:</b> Annual
<b>ISIN:</b> US00287Y1091	<b>Meeting Date:</b> 5/8/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Robert J. Alpern	Mgmt	For	For
2	Edward M. Liddy	Mgmt	For	For
3	Melody B. Meyer	Mgmt	For	For
4	Frederick H. Waddell	Mgmt	For	For
2.	Ratification of Ernst & Young LLP as AbbVie's independent registered public accounting firm for 2020	Mgmt	For	For
3.	Say on Pay - An advisory vote on the approval of executive compensation	Mgmt	For	For
4.	Approval of a management proposal regarding amendment of the certificate of incorporation to eliminate supermajority voting	Mgmt	For	For
5.	Stockholder Proposal - to Issue an Annual Report on Lobbying	Shr	Against	For

6.	Stockholder Proposal - to Adopt a Policy to Require Independent Chairman	Shr	Against	For
7.	Stockholder Proposal - to Issue a Compensation Committee Report on Drug Pricing	Shr	Against	For

#### ALLERGAN PLC

**Security:** G0177J108  
**Ticker:** AGN  
**ISIN:** IE00BY9D5467

**Agenda Number:** 935082305  
**Meeting Type:** Special  
**Meeting Date:** 10/14/2019

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	COURT MEETING: Approve the scheme of arrangement.	Mgmt	For	For
2.	Extraordinary General Meeting: Approve the scheme of arrangement and authorize the directors of Allergan plc to take all such actions as they consider necessary or appropriate for carrying the scheme of arrangement into effect.	Mgmt	For	For
3.	Extraordinary General Meeting: Approve the cancellation of any Allergan plc ordinary shares in issue at 11:59 p.m., Irish time, on the day before the Irish High Court hearing to sanction the scheme (excluding, in any case, any Allergan plc ordinary shares which are held from time to time by AbbVie Inc., Acquirer Sub (as defined in the scheme of arrangement) or any other subsidiary of AbbVie Inc., if any).	Mgmt	For	For
4.	Extraordinary General Meeting: Authorize the directors of Allergan plc to allot and issue new Allergan plc shares, fully paid up, to Acquirer Sub and/or its nominee(s) in connection with effecting the scheme.	Mgmt	For	For
5.	Extraordinary General Meeting: Amend the articles of association of Allergan plc so that any ordinary shares of Allergan plc that are issued on or after the Voting Record Time (as defined in the scheme of arrangement) to persons other than Acquirer Sub or its nominees will either be subject to the terms of the scheme or will be immediately and automatically acquired by Acquirer Sub and/or its nominee(s) for the scheme consideration.	Mgmt	For	For
6.	Extraordinary General Meeting: Approve, on a non-binding, advisory basis, specified compensatory arrangements between Allergan plc and its named executive officers relating to the transaction.	Mgmt	For	For
7.	Extraordinary General Meeting: Approve any motion by the Chairman to adjourn the extraordinary general meeting, or any adjournments thereof, to solicit additional proxies in favor of the approval of the resolutions if there are insufficient votes at the time of the extraordinary general meeting to approve resolutions 2 through 5.	Mgmt	For	For

#### AMGEN INC.

**Security:** 031162100  
**Ticker:** AMGN  
**ISIN:** US0311621009

**Agenda Number:** 935172419  
**Meeting Type:** Annual  
**Meeting Date:** 5/19/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
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1A.	Election of Director: Dr. Wanda M. Austin	Mgmt	For	For
1B.	Election of Director: Mr. Robert A. Bradway	Mgmt	For	For
1C.	Election of Director: Dr. Brian J. Druker	Mgmt	For	For
1D.	Election of Director: Mr. Robert A. Eckert	Mgmt	For	For
1E.	Election of Director: Mr. Greg C. Garland	Mgmt	For	For
1F.	Election of Director: Mr. Fred Hassan	Mgmt	For	For
1G.	Election of Director: Mr. Charles M. Holley, Jr.	Mgmt	For	For
1H.	Election of Director: Dr. Tyler Jacks	Mgmt	For	For
1I.	Election of Director: Ms. Ellen J. Kullman	Mgmt	For	For
1J.	Election of Director: Dr. Ronald D. Sugar	Mgmt	For	For
1K.	Election of Director: Dr. R. Sanders Williams	Mgmt	For	For
2.	Advisory vote to approve our executive compensation.	Mgmt	For	For
3.	To ratify the selection of Ernst & Young LLP as our independent registered public accountants for the fiscal year ending December 31, 2020.	Mgmt	For	For
4.	Stockholder proposal to require an independent board chair.	Shr	Against	For

#### ANTHEM, INC.

**Security:** 036752103  
**Ticker:** ANTM  
**ISIN:** US0367521038

**Agenda Number:** 935157037  
**Meeting Type:** Annual  
**Meeting Date:** 5/21/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Director: Gail K. Boudreaux	Mgmt	For	For
1.2	Election of Director: R. Kerry Clark	Mgmt	For	For
1.3	Election of Director: Robert L. Dixon, Jr.	Mgmt	For	For
2.	Advisory vote to approve the compensation of our named executive officers.	Mgmt	For	For
3.	To ratify the appointment of Ernst & Young LLP as the independent registered public accounting firm for 2020.	Mgmt	For	For
4.	Shareholder proposal to allow shareholders owning 10% or more of our common stock to call a special meeting of shareholders.	Shr	For	Against

#### ASTRAZENECA PLC

**Security:** 046353108  
**Ticker:** AZN  
**ISIN:** US0463531089

**Agenda Number:** 935143521  
**Meeting Type:** Annual  
**Meeting Date:** 4/29/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	To receive the Company's Accounts, the Reports of the Directors and Auditor and the Strategic Report for the year ended 31 December 2019	Mgmt	For	For
2.	To confirm dividends	Mgmt	For	For
3.	To reappoint PricewaterhouseCoopers LLP as Auditor	Mgmt	For	For
4.	To authorise the Directors to agree the remuneration of the Auditor	Mgmt	For	For
5A.	Election of Director: Leif Johansson	Mgmt	For	For
5B.	Election of Director: Pascal Soriot	Mgmt	For	For
5C.	Election of Director: Marc Dunoyer	Mgmt	For	For
5D.	Election of Director: Geneviève Berger	Mgmt	For	For
5E.	Election of Director: Philip Broadley	Mgmt	For	For
5F.	Election of Director: Graham Chipchase	Mgmt	For	For
5G.	Election of Director: Michel Demaré	Mgmt	For	For
5H.	Election of Director: Deborah DiSanzo	Mgmt	For	For

5I.	Election of Director: Sheri McCoy	Mgmt	For	For
5J.	Election of Director: Tony Mok	Mgmt	For	For
5K.	Election of Director: Nazneen Rahman	Mgmt	For	For
5I.	Election of Director: Marcus Wallenberg	Mgmt	For	For
6.	To approve the Annual Report on Remuneration for the year ended 31 December 2019	Mgmt	For	For
7.	To approve the Directors' Remuneration Policy	Mgmt	For	For
8.	To authorise limited political donations	Mgmt	For	For
9.	To authorise the Directors to allot shares	Mgmt	For	For
10.	authorise the Directors to disapply pre-emption rights (Special Resolution)	Mgmt	For	For
11.	To authorise the Directors to further disapply pre-emption rights for acquisitions and specified capital investments (Special Resolution)	Mgmt	For	For
12.	To authorise the Company to purchase its own shares (Special Resolution)	Mgmt	For	For
13.	To reduce the notice period for general meetings (Special Resolution)	Mgmt	For	For
14.	To approve the 2020 Performance Share Plan	Mgmt	For	For

#### BOSTON SCIENTIFIC CORPORATION

**Security:** 101137107  
**Ticker:** BSX  
**ISIN:** US1011371077

**Agenda Number:** 935151910  
**Meeting Type:** Annual  
**Meeting Date:** 5/7/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
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1A.	Election of Director: Nelda J. Connors	Mgmt	For	For
1B.	Election of Director: Charles J. Dockendorff	Mgmt	For	For
1C.	Election of Director: Yoshiaki Fujimori	Mgmt	For	For
1D.	Election of Director: Donna A. James	Mgmt	For	For
1E.	Election of Director: Edward J. Ludwig	Mgmt	For	For
1F.	Election of Director: Stephen P. MacMillan	Mgmt	For	For
1G.	Election of Director: Michael F. Mahoney	Mgmt	For	For
1H.	Election of Director: David J. Roux	Mgmt	For	For
1I.	Election of Director: John E. Sununu	Mgmt	For	For
1J.	Election of Director: Ellen M. Zane	Mgmt	For	For
2.	To approve, on a non-binding, advisory basis, named executive officer compensation.	Mgmt	For	For
3.	To approve an amendment and restatement of the Company's 2011 Long-Term Incentive Plan.	Mgmt	For	For
4.	To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the 2020 fiscal year.	Mgmt	For	For
5.	To consider and vote upon a stockholder proposal requesting a report on inclusion of non-management employee representation on the Board of Directors.	Shr	Against	For

#### BRISTOL-MYERS SQUIBB COMPANY

**Security:** 110122108  
**Ticker:** BMY  
**ISIN:** US1101221083

**Agenda Number:** 935151681  
**Meeting Type:** Annual  
**Meeting Date:** 5/5/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
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1A.	Election of Director: Peter J. Arduini	Mgmt	For	For
1B.	Election of Director: Robert Bertolini	Mgmt	For	For
1C.	Election of Director: Michael W. Bonney	Mgmt	For	For

1D.	Election of Director: Giovanni Caforio, M.D.	Mgmt	For	For
1E.	Election of Director: Matthew W. Emmens	Mgmt	For	For
1F.	Election of Director: Julia A. Haller, M.D.	Mgmt	For	For
1G.	Election of Director: Dinesh C. Paliwal	Mgmt	For	For
1H.	Election of Director: Theodore R. Samuels	Mgmt	For	For
1I.	Election of Director: Vicki L. Sato, Ph.D.	Mgmt	For	For
1J.	Election of Director: Gerald L. Storch	Mgmt	For	For
1K.	Election of Director: Karen H. Vousden, Ph.D.	Mgmt	For	For
1L.	Election of Director: Phyllis R. Yale	Mgmt	For	For
2.	Advisory vote to approve the compensation of our Named Executive Officers.	Mgmt	For	For
3.	Ratification of the appointment of an independent registered public accounting firm.	Mgmt	For	For
4.	Shareholder Proposal on Separate Chair & CEO.	Shr	Against	For
5.	Shareholder Proposal on Shareholder Right to Act by Written Consent.	Shr	Against	For

#### ELI LILLY AND COMPANY

**Security:** 532457108

**Ticker:** LLY

**ISIN:** US5324571083

**Agenda Number:** 935148937

**Meeting Type:** Annual

**Meeting Date:** 5/4/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: M. L. Eskew	Mgmt	For	For
1B.	Election of Director: W. G. Kaelin, Jr.	Mgmt	For	For
1C.	Election of Director: D. A. Ricks	Mgmt	For	For
1D.	Election of Director: M. S. Runge	Mgmt	For	For
1E.	Election of Director: K. Walker	Mgmt	For	For
2.	Approval, by non-binding vote, of the compensation paid to the company's named executive officers.	Mgmt	For	For
3.	Ratification of Ernst & Young LLP as the independent auditor for 2020.	Mgmt	For	For
4.	Approve amendments to the Articles of Incorporation to eliminate the classified board structure.	Mgmt	For	For
5.	Approve amendments to the Articles of Incorporation to eliminate supermajority voting provisions.	Mgmt	For	For
6.	Shareholder proposal to disclose direct and indirect lobbying activities and expenditures.	Shr	For	Against
7.	Shareholder proposal to publish a report on the effectiveness of the forced swim test.	Shr	For	Against
8.	Shareholder proposal to amend the bylaws to require an independent board chair.	Shr	For	Against
9.	Shareholder proposal on board diversity requesting disclosures of specific minimum qualifications and board nominee skills, experience, and ideological perspective.	Shr	For	Against
10.	Shareholder proposal to publish feasibility report on incorporating public concern over drug prices into senior executive compensation arrangements.	Shr	For	Against
11.	Shareholder proposal to implement a bonus deferral policy.	Shr	For	Against
12.	Shareholder proposal to disclose clawbacks on executive incentive compensation due to misconduct.	Shr	For	Against

#### GILEAD SCIENCES, INC.

**Security:** 375558103

**Agenda Number:** 935151883

**Ticker:** GILD  
**ISIN:** US3755581036

**Meeting Type:** Annual  
**Meeting Date:** 5/6/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Jacqueline K. Barton, Ph.D.	Mgmt	For	For
1B.	Election of Director: Sandra J. Horning, M.D.	Mgmt	For	For
1C.	Election of Director: Kelly A. Kramer	Mgmt	For	For
1D.	Election of Director: Kevin E. Lofton	Mgmt	For	For
1E.	Election of Director: Harish Manwani	Mgmt	For	For
1F.	Election of Director: Daniel P. O'Day	Mgmt	For	For
1G.	Election of Director: Richard J. Whitley, M.D.	Mgmt	For	For
1H.	Election of Director: Per Wold-Olsen	Mgmt	For	For
2.	To ratify the selection of Ernst & Young LLP by the Audit Committee of the Board of Directors as the independent registered public accounting firm of Gilead for the fiscal year ending December 31, 2020.	Mgmt	For	For
3.	To approve, on an advisory basis, the compensation of our Named Executive Officers as presented in the Proxy Statement.	Mgmt	For	For
4.	To vote on a stockholder proposal, if properly presented at the meeting, requesting that the Board adopt a policy that the Chairperson of the Board of Directors be an independent director.	Shr	Against	For
5.	To vote on a stockholder proposal, if properly presented at the meeting, requesting that the Board eliminate the ownership threshold for stockholders to request a record date to take action by written consent.	Shr	Against	For

#### GLAXOSMITHKLINE PLC

**Security:** 37733W105  
**Ticker:** GSK  
**ISIN:** US37733W1053

**Agenda Number:** 935154598  
**Meeting Type:** Annual  
**Meeting Date:** 5/6/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
O1	To receive and adopt the 2019 Annual Report	Mgmt	For	For
O2	To approve the Annual report on remuneration	Mgmt	For	For
O3	To approve the Remuneration policy	Mgmt	For	For
O4	To elect Sir Jonathan Symonds as a Director	Mgmt	For	For
O5	To elect Charles Bancroft as a Director	Mgmt	For	For
O6	To re-elect Emma Walmsley as a Director	Mgmt	For	For
O7	To re-elect Vindi Banga as a Director	Mgmt	For	For
O8	To re-elect Dr Hal Barron as a Director	Mgmt	For	For
O9	To re-elect Dr Vivienne Cox as a Director	Mgmt	For	For
O10	To re-elect Lynn Elsenhans as a Director	Mgmt	For	For
O11	To re-elect Dr Laurie Glimcher as a Director	Mgmt	For	For
O12	To re-elect Dr Jesse Goodman as a Director	Mgmt	For	For
O13	To re-elect Judy Lewent as a Director	Mgmt	For	For
O14	To re-elect Iain Mackay as a Director	Mgmt	For	For
O15	To re-elect Urs Rohner as a Director	Mgmt	For	For
O16	To re-appoint the auditor	Mgmt	For	For
O17	To determine remuneration of the auditor	Mgmt	For	For
S18	To authorise the company and its subsidiaries to make donations to political organisations and incur political expenditure	Mgmt	For	For
S19	To authorise allotment of shares	Mgmt	For	For
S20	To disapply pre-emption rights - general power (special resolution)	Mgmt	For	For

S21	To disapply pre-emption rights - in connection with an acquisition or specified capital investment (special resolution)	Mgmt	For	For
S22	To authorise the company to purchase its own shares (special resolution)	Mgmt	For	For
S23	To authorise exemption from statement of name of senior statutory auditor	Mgmt	For	For
S24	To authorise reduced notice of a general meeting other than an AGM (special resolution)	Mgmt	For	For

#### HCA HEALTHCARE, INC.

**Security:** 40412C101  
**Ticker:** HCA  
**ISIN:** US40412C1018

**Agenda Number:** 935145614  
**Meeting Type:** Annual  
**Meeting Date:** 5/1/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Thomas F. Frist III	Mgmt	For	For
1B.	Election of Director: Samuel N. Hazen	Mgmt	For	For
1C.	Election of Director: Meg G. Crofton	Mgmt	For	For
1D.	Election of Director: Robert J. Dennis	Mgmt	For	For
1E.	Election of Director: Nancy-Ann DeParle	Mgmt	For	For
1F.	Election of Director: William R. Frist	Mgmt	For	For
1G.	Election of Director: Charles O. Holliday, Jr.	Mgmt	For	For
1H.	Election of Director: Michael W. Michelson	Mgmt	For	For
1I.	Election of Director: Wayne J. Riley, M.D.	Mgmt	For	For
2.	To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the year ending December 31, 2020.	Mgmt	For	For
3.	Advisory vote to approve named executive officer compensation.	Mgmt	For	For
4.	To approve the 2020 Stock Incentive Plan for Key Employees of HCA Healthcare, Inc. and its Affiliates.	Mgmt	For	For
5.	To approve an amendment to our amended and restated certificate of incorporation to allow stockholders owning an aggregate of 15% of our outstanding common stock to request special meetings of stockholders.	Mgmt	For	For
6.	Stockholder proposal, if properly presented at the meeting, requesting that the Board of Directors take the steps necessary to allow stockholders to act by written consent.	Shr	For	Against

#### JOHNSON & JOHNSON

**Security:** 478160104  
**Ticker:** JNJ  
**ISIN:** US4781601046

**Agenda Number:** 935137934  
**Meeting Type:** Annual  
**Meeting Date:** 4/23/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Mary C. Beckerle	Mgmt	For	For
1B.	Election Of Director: D. Scott Davis	Mgmt	For	For
1C.	Election of Director: Ian E. L. Davis	Mgmt	For	For
1D.	Election of Director: Jennifer A. Doudna	Mgmt	For	For
1E.	Election of Director: Alex Gorsky	Mgmt	For	For
1F.	Election of Director: Marilyn A. Hewson	Mgmt	For	For
1G.	Election of Director: Hubert Joly	Mgmt	For	For
1H.	Election of Director: Mark B. McClellan	Mgmt	For	For
1I.	Election of Director: Anne M. Mulcahy	Mgmt	For	For
1J.	Election of Director: Charles Prince	Mgmt	For	For

1K.	Election of Director: A. Eugene Washington	Mgmt	For	For
1L.	Election of Director: Mark A. Weinberger	Mgmt	For	For
1M.	Election of Director: Ronald A. Williams	Mgmt	For	For
2.	Advisory Vote to Approve Named Executive Officer Compensation.	Mgmt	For	For
3.	Ratification of Appointment of PricewaterhouseCoopers LLP as the Independent Registered Public Accounting Firm for 2020.	Mgmt	For	For
4.	Amendment to the Restated Certificate of Incorporation to Permit Removal of Directors Without Cause.	Mgmt	For	For
5.	Independent Board Chair	Shr	Against	For
6.	Report on Governance of Opioids-Related Risks	Shr	Against	For

#### MEDTRONIC PLC

**Security:** G5960L103

**Ticker:** MDT

**ISIN:** IE00BTN1Y115

**Agenda Number:** 935094336

**Meeting Type:** Annual

**Meeting Date:** 12/6/2019

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
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1A.	Election of Director: Richard H. Anderson	Mgmt	For	For
1B.	Election of Director: Craig Arnold	Mgmt	For	For
1C.	Election of Director: Scott C. Donnelly	Mgmt	For	For
1D.	Election of Director: Andrea J. Goldsmith, Ph.D.	Mgmt	For	For
1E.	Election of Director: Randall J. Hogan, III	Mgmt	For	For
1F.	Election of Director: Omar Ishrak	Mgmt	For	For
1G.	Election of Director: Michael O. Leavitt	Mgmt	For	For
1H.	Election of Director: James T. Lenehan	Mgmt	For	For
1I.	Election of Director: Geoffrey S. Martha	Mgmt	For	For
1J.	Election of Director: Elizabeth G. Nabel, M.D.	Mgmt	For	For
1K.	Election of Director: Denise M. O'Leary	Mgmt	For	For
1L.	Election of Director: Kendall J. Powell	Mgmt	For	For
2.	To ratify, in a non-binding vote, the appointment of PricewaterhouseCoopers LLP as Medtronic's independent auditor for fiscal year 2020 and to authorize, in a binding vote, the Board of Directors, acting through the Audit Committee, to set the auditor's remuneration.	Mgmt	For	For
3.	To approve, in a non-binding advisory vote, named executive officer compensation (a "Say-on-Pay" vote).	Mgmt	For	For
4.	To renew the Board's authority to issue shares.	Mgmt	For	For
5.	To renew the Board's authority to opt out of pre-emption rights.	Mgmt	For	For
6.	Authorizing the Company and any subsidiary of the Company to make overseas market purchases of Medtronic ordinary shares.	Mgmt	For	For

#### MERCK & CO., INC.

**Security:** 58933Y105

**Ticker:** MRK

**ISIN:** US58933Y1055

**Agenda Number:** 935176431

**Meeting Type:** Annual

**Meeting Date:** 5/26/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
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1A.	Election of Director: Leslie A. Brun	Mgmt	For	For
1B.	Election of Director: Thomas R. Cech	Mgmt	For	For
1C.	Election of Director: Mary Ellen Coe	Mgmt	For	For



1D.	Election of Director: Pamela J. Craig	Mgmt	For	For
1E.	Election of Director: Kenneth C. Frazier	Mgmt	For	For
1F.	Election of Director: Thomas H. Glocer	Mgmt	For	For
1G.	Election of Director: Risa Lavizzo-Mourey	Mgmt	For	For
1H.	Election of Director: Paul B. Rothman	Mgmt	For	For
1I.	Election of Director: Patricia F. Russo	Mgmt	For	For
1J.	Election of Director: Christine E. Seidman	Mgmt	For	For
1K.	Election of Director: Inge G. Thulin	Mgmt	For	For
1L.	Election of Director: Kathy J. Warden	Mgmt	For	For
1M.	Election of Director: Peter C. Wendell	Mgmt	For	For
2.	Non-binding advisory vote to approve the compensation of our named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of the Company's independent registered public accounting firm for 2020.	Mgmt	For	For
4.	Shareholder proposal concerning shareholder right to act by written consent.	Shr	Against	For
5.	Shareholder proposal regarding allocation of corporate tax savings.	Shr	Against	For

#### PFIZER INC.

**Security:** 717081103  
**Ticker:** PFE  
**ISIN:** US7170811035

**Agenda Number:** 935138998  
**Meeting Type:** Annual  
**Meeting Date:** 4/23/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Ronald E. Blaylock	Mgmt	For	For
1B.	Election of Director: Albert Bourla	Mgmt	For	For
1C.	Election of Director: W. Don Cornwell	Mgmt	For	For
1D.	Election of Director: Joseph J. Echevarria	Mgmt	For	For
1E.	Election of Director: Scott Gottlieb	Mgmt	For	For
1F.	Election of Director: Helen H. Hobbs	Mgmt	For	For
1G.	Election of Director: Susan Hockfield	Mgmt	For	For
1H.	Election of Director: James M. Kilts	Mgmt	For	For
1I.	Election of Director: Dan R. Littman	Mgmt	For	For
1J.	Election of Director: Shantanu Narayen	Mgmt	For	For
1K.	Election of Director: Suzanne Nora Johnson	Mgmt	For	For
1L.	Election of Director: James Quincey	Mgmt	For	For
1M.	Election of Director: James C. Smith	Mgmt	For	For
2.	Ratify the selection of KPMG LLP as independent registered public accounting firm for 2020	Mgmt	For	For
3.	2020 advisory approval of executive compensation	Mgmt	For	For
4.	Shareholder proposal regarding right to act by written consent	Shr	Against	For
5.	Shareholder proposal regarding enhancing proxy access	Shr	Against	For
6.	Shareholder proposal regarding report on lobbying activities	Shr	Against	For
7.	Shareholder proposal regarding independent chair policy	Shr	Against	For
8.	Shareholder proposal regarding gender pay gap	Shr	Against	For
9.	Election of Director: Susan Desmond-Hellmann	Mgmt	For	For

#### REGENERON PHARMACEUTICALS, INC.

**Security:** 75886F107  
**Ticker:** REGN  
**ISIN:** US75886F1075

**Agenda Number:** 935196279  
**Meeting Type:** Annual  
**Meeting Date:** 6/12/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: N. Anthony Coles, M.D.	Mgmt	For	For
1B.	Election of Director: Joseph L. Goldstein, M.D.	Mgmt	For	For
1C.	Election of Director: Christine A. Poon	Mgmt	For	For
1D.	Election of Director: P. Roy Vagelos, M.D.	Mgmt	For	For
1E.	Election of Director: Huda Y. Zoghbi, M.D.	Mgmt	For	For
2.	Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2020.	Mgmt	For	For
3.	Proposal to approve the Second Amended and Restated Regeneron Pharmaceuticals, Inc. 2014 Long-Term Incentive Plan.	Mgmt	For	For
4.	Proposal to approve, on an advisory basis, executive compensation.	Mgmt	For	For

## SANOFI

**Security:** 80105N105

**Ticker:** SNY

**ISIN:** US80105N1054

**Agenda Number:** 935185238

**Meeting Type:** Annual

**Meeting Date:** 4/28/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	Approval of the individual company financial statements for the year ended December 31, 2019	Mgmt	For	For
2.	Approval of the consolidated financial statements for the year ended December 31, 2019	Mgmt	For	For
3.	Appropriation of results for the year ended December 31, 2019 and declaration of dividend	Mgmt	For	For
4.	Approval of regulated agreements and commitments falling within the scope of Articles L. 225-38 et seq of the French Commercial Code	Mgmt	For	For
5.	Ratification of the co-opting of Paul Hudson as a Director	Mgmt	For	For
6.	Reappointment of Laurent Attal as a Director	Mgmt	For	For
7.	Reappointment of Carole Piwnica as a Director	Mgmt	For	For
8.	Reappointment of Diane Souza as a Director	Mgmt	For	For
9.	Reappointment of Thomas Südhof as a Director	Mgmt	For	For
10.	Appointment of Rachel Duan as a Director	Mgmt	For	For
11.	Appointment of Lise Kingo as a Director	Mgmt	For	For
12.	Determination of the compensation amount for the Board of Directors	Mgmt	For	For
13.	Approval of the compensation policy for directors	Mgmt	For	For
14.	Approval of the compensation policy for the Chairman of the Board of Directors	Mgmt	For	For
15.	Approval of the compensation policy for the Chief Executive Officer	Mgmt	For	For
16.	Approval of the report on the compensation of corporate officers issued in accordance with Article L. 225-37-3 I. of the French Commercial Code	Mgmt	For	For

17.	Approval of the components of the compensation paid or awarded in respect of the year ended 31 December 2019 to Serge Weinberg, Chairman of the Board	Mgmt	For	For
18.	Approval of the components of the compensation paid or awarded in respect of the year ended 31 December 2019 to Paul Hudson, Chief Executive Officer from September 1, 2019	Mgmt	For	For
19.	Approval of the components of the compensation paid or awarded in respect of the year ended 31 December 2019 to Olivier Brandicourt, Chief Executive Officer until August 31, 2019	Mgmt	For	For
20.	Authorization to the Board of Directors to carry out transactions in the Company's shares (usable outside the period of a public tender offer)	Mgmt	For	For
21.	Powers for formalities	Mgmt	For	For

### STRYKER CORPORATION

**Security:** 863667101  
**Ticker:** SYK  
**ISIN:** US8636671013

**Agenda Number:** 935153673  
**Meeting Type:** Annual  
**Meeting Date:** 5/5/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Mary K. Brainerd	Mgmt	For	For
1B.	Election of Director: Srikant M. Datar, Ph.D.	Mgmt	For	For
1C.	Election of Director: Roch Doliveux, DVM	Mgmt	For	For
1D.	Election of Director: Allan C. Golston(Lead Independent Director)	Mgmt	For	For
1E.	Election of Director: Kevin A. Lobo(Chairman of the Board)	Mgmt	For	For
1F.	Election of Director: Sherilyn S. McCoy	Mgmt	For	For
1G.	Election of Director: Andrew K. Silvernail	Mgmt	For	For
1H.	Election of Director: Lisa M. Skeete Tatum	Mgmt	For	For
1I.	Election of Director: Ronda E. Stryker	Mgmt	For	For
1J.	Election of Director: Rajeev Suri	Mgmt	For	For
2.	Ratify appointment of Ernst & Young LLP as our independent registered public accounting firm for 2020.	Mgmt	For	For
3.	Advisory vote to approve named executive officer compensation.	Mgmt	For	For
4.	Non-management employee representation on the Board of Directors.	Mgmt	Against	For

### UNITEDHEALTH GROUP INCORPORATED

**Security:** 91324P102  
**Ticker:** UNH  
**ISIN:** US91324P1021

**Agenda Number:** 935188931  
**Meeting Type:** Annual  
**Meeting Date:** 6/1/2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Richard T. Burke	Mgmt	For	For
1B.	Election of Director: Timothy P. Flynn	Mgmt	For	For
1C.	Election of Director: Stephen J. Hemsley	Mgmt	For	For
1D.	Election of Director: Michele J. Hooper	Mgmt	For	For
1E.	Election of Director: F. William McNabb III	Mgmt	For	For
1F.	Election of Director: Valerie C. Montgomery Rice, M.D.	Mgmt	For	For
1G.	Election of Director: John H. Noseworthy, M.D.	Mgmt	For	For

1H.	Election of Director: Glenn M. Renwick	Mgmt	For	For
1I.	Election of Director: David S. Wichmann	Mgmt	For	For
1J.	Election of Director: Gail R. Wilensky, Ph.D.	Mgmt	For	For
2.	Advisory approval of the Company's executive compensation.	Mgmt	For	For
3.	Ratification of the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for the Company for the year ending December 31, 2020.	Mgmt	For	For
4.	Approval of the UnitedHealth Group 2020 Stock Incentive Plan.	Mgmt	For	For
5.	If properly presented at the 2020 Annual Meeting of Shareholders, the shareholder proposal set forth in the proxy statement requesting any material amendment to the Company's Bylaws be subject to a non-binding shareholder vote.	Shr	Against	For