

# Harvest US Bank Leaders Income ETF

QUARTERLY PORTFOLIO DISCLOSURE

as at September 30, 2020

## Total Net Asset Value

The total net asset value of the Harvest US Bank Leaders Income ETF was \$10,444,117.

## Summary of Investment Portfolio

<i>Sector Allocation</i>	<i>% of Net Asset Value</i>
Banks	77.9
Capital Markets	13.0
Insurance	6.7
<b>Total Portfolio Assets</b>	<b>97.6</b>
Cash and other assets and liabilities	3.0
Foreign currency forwards	(0.6)
<b>Net Asset Value</b>	<b>100.0</b>

  

<i>Portfolio Allocation</i>	<i>% of Net Asset Value</i>
Common Shares	97.9
Equity options	(0.3)
<b>Total Portfolio Assets</b>	<b>97.6</b>
Cash and other assets and liabilities	3.0
Foreign currency forwards	(0.6)
<b>Net Asset Value</b>	<b>100.0</b>

## Portfolio Breakdown

<i>Top Holdings</i>	<i>% of Net Asset Value</i>
Fifth Third Bancorp	7.1
Regions Financial Corporation	6.9
The PNC Financial Services Group, Inc.	6.9
Truist Financial Corporation	6.8
The Allstate Corporation	6.8
The Goldman Sachs Group, Inc.	6.6
U.S. Bancorp	6.5
KeyCorp	6.5
Wells Fargo & Company	6.5
JPMorgan Chase & Co.	6.5
Morgan Stanley	6.5
Bank of America Corporation	6.4
First Republic Bank	6.4
M&T Bank Corporation	5.8
Citigroup Inc.	5.7
Cash and other assets and liabilities	3.0
Equity options	(0.3)
Foreign currency forwards	(0.6)

The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund. This quarterly update is available on our website at [www.harvestportfolios.com](http://www.harvestportfolios.com).

### Customer Service

416.649.4541  
Toll: 1.866.998.8298

### Address

610 Chartwell Road  
Suite 204  
Oakville, ON  
L6J 4A5



(managed by Harvest Portfolios Group Inc.)

Your trusted source for income and growth investments