

Harvest Healthcare Leaders Income ETF

HHL, HHL.B, HHL.U

Meeting Date Range: 01-Jul-2020 - 30-Jun-2021

Proxy Voting Report

ABBOTT LABORATORIES	
Security: 002824100	Agenda Number: 935345125
Ticker: ABT	Meeting Type: Annual
ISIN: US0028241000	Meeting Date: 4-23-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
	1 R.J. Alpern	Mgmt	For	For
	2 R.S. Austin	Mgmt	For	For
	3 S.E. Blount	Mgmt	For	For
	4 R.B. Ford	Mgmt	For	For
	5 M.A. Kumbier	Mgmt	For	For
	6 D.W. McDew	Mgmt	For	For
	7 N. McKinstry	Mgmt	For	For
	8 W.A. Osborn	Mgmt	For	For
	9 M.F. Roman	Mgmt	For	For
	10 D.J. Starks	Mgmt	For	For
	11 J.G. Stratton	Mgmt	For	For
	12 G.F. Tilton	Mgmt	For	For
	13 M.D. White	Mgmt	For	For
2.	Ratification of Ernst & Young LLP as Auditors.	Mgmt	For	For
3.	Say on Pay - An Advisory Vote to Approve Executive Compensation.	Mgmt	For	For
4A.	Amendments to the Articles of Incorporation to Eliminate Statutory Supermajority Voting Standards for: Amendments to the Articles of Incorporation.	Mgmt	For	For
4B.	Amendments to the Articles of Incorporation to Eliminate Statutory Supermajority Voting Standards for: Approval of Certain Extraordinary Transactions.	Mgmt	For	For
5.	Shareholder Proposal - Lobbying Disclosure.	Shr	Against	For
6.	Shareholder Proposal - Report on Racial Justice.	Shr	Against	For
7.	Shareholder Proposal - Independent Board Chairman.	Shr	Against	For

ABBVIE INC.	
Security: 00287Y109	Agenda Number: 935357891
Ticker: ABBV	Meeting Type: Annual
ISIN: US00287Y1091	Meeting Date: 5-7-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
	1 Roxanne S. Austin	Mgmt	For	For
	2 Richard A. Gonzalez	Mgmt	For	For
	3 Rebecca B. Roberts	Mgmt	For	For
	4 Glenn F. Tilton	Mgmt	For	For
2.	Ratification of Ernst & Young LLP as AbbVie's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Say on Pay-An advisory vote on the approval of executive compensation.	Mgmt	For	For
4.	Approval of the Amended and Restated 2013 Incentive Stock Program.	Mgmt	For	For
5.	Approval of the Amended and Restated 2013 Employee Stock Purchase Plan for non-U.S. employees.	Mgmt	For	For
6.	Approval of a management proposal regarding amendment of the certificate of incorporation to eliminate supermajority voting.	Mgmt	For	For
7.	Stockholder Proposal - to Issue an Annual Report on Lobbying.	Shr	Against	For
8.	Stockholder Proposal - to Adopt a Policy to Require Independent Chairman.	Shr	Against	For

AMGEN INC.

Security: 031162100

Ticker: AMGN

ISIN: US0311621009

Agenda Number: 935375382

Meeting Type: Annual

Meeting Date: 5-18-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director for a term of office expiring at the 2022 annual meeting: Dr. Wanda M. Austin	Mgmt	For	For
1B.	Election of Director for a term of office expiring at the 2022 annual meeting: Mr. Robert A. Bradway	Mgmt	For	For
1C.	Election of Director for a term of office expiring at the 2022 annual meeting: Dr. Brian J. Druker	Mgmt	For	For
1D.	Election of Director for a term of office expiring at the 2022 annual meeting: Mr. Robert A. Eckert	Mgmt	For	For
1E.	Election of Director for a term of office expiring at the 2022 annual meeting: Mr. Greg C. Garland	Mgmt	For	For
1F.	Election of Director for a term of office expiring at the 2022 annual meeting: Mr. Charles M. Holley, Jr.	Mgmt	For	For

1G.	Election of Director for a term of office expiring at the 2022 annual meeting: Dr. Tyler Jacks	Mgmt	For	For
1H.	Election of Director for a term of office expiring at the 2022 annual meeting: Ms. Ellen J. Kullman	Mgmt	For	For
1I.	Election of Director for a term of office expiring at the 2022 annual meeting: Ms. Amy E. Miles	Mgmt	For	For
1J.	Election of Director for a term of office expiring at the 2022 annual meeting: Dr. Ronald D. Sugar	Mgmt	For	For
1K.	Election of Director for a term of office expiring at the 2022 annual meeting: Dr. R. Sanders Williams	Mgmt	For	For
2.	Advisory vote to approve our executive compensation.	Mgmt	For	For
3.	To ratify the selection of Ernst & Young LLP as our independent registered public accountants for the fiscal year ending December 31, 2021.	Mgmt	For	For

ANTHEM, INC.

Security: 036752103

Ticker: ANTM

ISIN: US0367521038

Agenda Number: 935387488

Meeting Type: Annual

Meeting Date: 5-26-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Director: Lewis Hay, III	Mgmt	For	For
1.2	Election of Director: Antonio F. Neri	Mgmt	For	For
1.3	Election of Director: Ramiro G. Peru	Mgmt	For	For
2.	Advisory vote to approve the compensation of our named executive officers.	Mgmt	For	For
3.	To ratify the appointment of Ernst & Young LLP as the independent registered public accounting firm for 2021.	Mgmt	For	For

ASTRAZENECA PLC

Security: 046353108

Ticker: AZN

ISIN: US0463531089

Agenda Number: 935414057

Meeting Type: Annual

Meeting Date: 5-11-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	To receive the Company's Accounts, the Reports of the Directors and Auditor and the Strategic Report for the year ended 31 December 2020.	Mgmt	For	Did Not Vote
2.	To confirm dividends.	Mgmt	For	Did Not Vote
3.	To reappoint PricewaterhouseCoopers LLP as Auditor.	Mgmt	For	Did Not Vote

4.	To authorise the Directors to agree the remuneration of the Auditor.	Mgmt	For	Did Not Vote
5A.	Re-election of Director: Leif Johansson	Mgmt	For	Did Not Vote
5B.	Re-election of Director: Pascal Soriot	Mgmt	For	Did Not Vote
5C.	Re-election of Director: Marc Dunoyer	Mgmt	For	Did Not Vote
5D.	Re-election of Director: Philip Broadley	Mgmt	For	Did Not Vote
5E.	Election of Director: Euan Ashley	Mgmt	For	Did Not Vote
5F.	Re-election of Director: Michel Demaré	Mgmt	For	Did Not Vote
5G.	Re-election of Director: Deborah DiSanzo	Mgmt	For	Did Not Vote
5H.	Election of Director: Diana Layfield	Mgmt	For	Did Not Vote
5I.	Re-election of Director: Sheri McCoy	Mgmt	For	Did Not Vote
5J.	Re-election of Director: Tony Mok	Mgmt	For	Did Not Vote
5K.	Re-election of Director: Nazneen Rahman	Mgmt	For	Did Not Vote
5L.	Re-election of Director: Marcus Wallenberg	Mgmt	For	Did Not Vote
6.	To approve the Annual Report on Remuneration for the year ended 31 December 2020.	Mgmt	For	Did Not Vote
7.	To approve the Directors' Remuneration Policy.	Mgmt	For	Did Not Vote
8.	To authorise limited political donations.	Mgmt	For	Did Not Vote
9.	To authorise the Directors to allot shares.	Mgmt	For	Did Not Vote
10.	Special Resolution: To authorise the Directors to disapply pre-emption rights.	Mgmt	For	Did Not Vote
11.	Special Resolution: To authorise the Directors to further disapply pre-emption rights for acquisitions and specified capital investments.	Mgmt	For	Did Not Vote
12.	Special Resolution: To authorise the Company to purchase its own shares.	Mgmt	For	Did Not Vote
13.	Special Resolution: To reduce the notice period for general meetings.	Mgmt	For	Did Not Vote
14.	To approve amendments to the Performance Share Plan 2020.	Mgmt	For	Did Not Vote

ASTRAZENECA PLC

Security: 046353108
Ticker: AZN
ISIN: US0463531089

Agenda Number: 935416013
Meeting Type: Annual
Meeting Date: 5-11-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	(a) the proposed acquisition by the Company of Alexion Pharmaceuticals, Inc. and the associated arrangements to be entered into, all as described in the circular to the shareholders of the Company dated 12 April 2021 and substantially on the terms and subject to the conditions set out in the Merger Agreement dated 12 December 2020 between the Company and Alexion Pharmaceuticals, Inc. (among others) (the "Transaction"), be and is hereby approved; and (b) the directors ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	Did Not Vote

BOSTON SCIENTIFIC CORPORATION

Security: 101137107
Ticker: BSX
ISIN: US1011371077

Agenda Number: 935360456
Meeting Type: Annual
Meeting Date: 5-6-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Nelda J. Connors	Mgmt	For	For
1B.	Election of Director: Charles J. Dockendorff	Mgmt	For	For
1C.	Election of Director: Yoshiaki Fujimori	Mgmt	For	For
1D.	Election of Director: Donna A. James	Mgmt	For	For
1E.	Election of Director: Edward J. Ludwig	Mgmt	For	For
1F.	Election of Director: Michael F. Mahoney	Mgmt	For	For
1G.	Election of Director: David J. Roux	Mgmt	For	For
1H.	Election of Director: John E. Sununu	Mgmt	For	For
1I.	Election of Director: Ellen M. Zane	Mgmt	For	For
2.	To approve, on a non-binding, advisory basis, named executive officer compensation.	Mgmt	For	For
3.	To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the 2021 fiscal year.	Mgmt	For	For
4.	To consider and vote upon a stockholder proposal requesting a report to stockholders describing any benefits to the company related to employee participation in company governance.	Shr	Against	For

BRISTOL-MYERS SQUIBB COMPANY

Security: 110122108
Ticker: BMY
ISIN: US1101221083

Agenda Number: 935359643
Meeting Type: Annual
Meeting Date: 5-4-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A)	Election of Director: Peter J. Arduini	Mgmt	No vote	Did Not Vote
1B)	Election of Director: Michael W. Bonney	Mgmt	No vote	Did Not Vote
1C)	Election of Director: Giovanni Caforio, M.D.	Mgmt	No vote	Did Not Vote
1D)	Election of Director: Julia A. Haller, M.D.	Mgmt	No vote	Did Not Vote
1E)	Election of Director: Paula A. Price	Mgmt	No vote	Did Not Vote
1F)	Election of Director: Derica W. Rice	Mgmt	No vote	Did Not Vote
1G)	Election of Director: Theodore R. Samuels	Mgmt	No vote	Did Not Vote
1H)	Election of Director: Gerald L. Storch	Mgmt	No vote	Did Not Vote
1I)	Election of Director: Karen Vousden, Ph.D.	Mgmt	No vote	Did Not Vote
1J)	Election of Director: Phyllis R. Yale	Mgmt	No vote	Did Not Vote
2.	Advisory Vote to Approve the Compensation of our Named Executive Officers.	Mgmt	No vote	Did Not Vote
3.	Approval of the Company's 2021 Stock Award and Incentive Plan.	Mgmt	No vote	Did Not Vote
4.	Ratification of the Appointment of an Independent Registered Public Accounting Firm.	Mgmt	No vote	Did Not Vote

5.	Approval of an Amendment to the Certificate of Incorporation to Lower the Ownership Threshold for Special Shareholder Meetings to 15%.	Mgmt	No vote	Did Not Vote
6.	Shareholder Proposal on Adoption of a Board Policy that the Chairperson of the Board be an Independent Director.	Shr	No vote	Did Not Vote
7.	Shareholder Proposal on Shareholder Right to Act by Written Consent.	Shr	No vote	Did Not Vote
8.	Shareholder Proposal to Lower the Ownership Threshold for Special Shareholder Meetings to 10%.	Shr	No vote	Did Not Vote

ELI LILLY AND COMPANY

Security: 532457108

Ticker: LLY

ISIN: US5324571083

Agenda Number: 935355354

Meeting Type: Annual

Meeting Date: 5-3-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1a.	Election of Director to serve a three year term: K. Baicker, Ph.D.	Mgmt	For	For
1b.	Election of Director to serve a three year term: J.E. Fyrwald	Mgmt	For	For
1c.	Election of Director to serve a three year term: J. Jackson	Mgmt	For	For
1d.	Election of Director to serve a three year term: G. Sulzberger	Mgmt	For	For
1e.	Election of Director to serve a three year term: J.P. Tai	Mgmt	For	For
2.	Approval, on an advisory basis, of the compensation paid to the company's named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of Ernst & Young LLP as the independent auditor for 2021.	Mgmt	For	For
4.	Approval of amendments to the company's Articles of Incorporation to eliminate the classified board structure.	Mgmt	For	For
5.	Approval of amendments to the company's Articles of Incorporation to eliminate supermajority voting provisions.	Mgmt	For	For
6.	Shareholder proposal to disclose direct and indirect lobbying activities and expenditures.	Shr	Against	For
7.	Shareholder proposal to amend the bylaws to require an independent board chair.	Shr	Against	For
8.	Shareholder proposal to implement a bonus deferral policy.	Shr	Against	For
9.	Shareholder proposal to disclose clawbacks on executive incentive compensation due to misconduct.	Shr	Against	For

HCA HEALTHCARE, INC.

Security: 40412C101
Ticker: HCA
ISIN: US40412C1018

Agenda Number: 935354237
Meeting Type: Annual
Meeting Date: 4-28-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Thomas F. Frist III	Mgmt	For	For
1B.	Election of Director: Samuel N. Hazen	Mgmt	For	For
1C.	Election of Director: Meg G. Crofton	Mgmt	For	For
1D.	Election of Director: Robert J. Dennis	Mgmt	For	For
1E.	Election of Director: Nancy-Ann DeParle	Mgmt	For	For
1F.	Election of Director: William R. Frist	Mgmt	For	For
1G.	Election of Director: Charles O. Holliday, Jr	Mgmt	For	For
1H.	Election of Director: Michael W. Michelson	Mgmt	For	For
1I.	Election of Director: Wayne J. Riley, M.D.	Mgmt	For	For
2.	To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For
3.	Advisory vote to approve named executive officer compensation.	Mgmt	For	For
4.	Stockholder proposal, if properly presented at the meeting, requesting that the Board of Directors take the steps necessary to allow stockholders to act by written consent.	Shr	Against	For
5.	Stockholder proposal, if properly presented at the meeting, requesting a report on the feasibility of increasing the impact of quality metrics on executive compensation.	Shr	Against	For

JOHNSON & JOHNSON

Security: 478160104
Ticker: JNJ
ISIN: US4781601046

Agenda Number: 935345214
Meeting Type: Annual
Meeting Date: 4-22-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Mary C. Beckerle	Mgmt	For	For
1B.	Election of Director: D. Scott Davis	Mgmt	For	For
1C.	Election of Director: Ian E. L. Davis	Mgmt	For	For
1D.	Election of Director: Jennifer A. Doudna	Mgmt	For	For
1E.	Election of Director: Alex Gorsky	Mgmt	For	For
1F.	Election of Director: Marilyn A. Hewson	Mgmt	For	For
1G.	Election of Director: Hubert Joly	Mgmt	For	For
1H.	Election of Director: Mark B. McClellan	Mgmt	For	For
1I.	Election of Director: Anne M. Mulcahy	Mgmt	For	For
1J.	Election of Director: Charles Prince	Mgmt	For	For
1K.	Election of Director: A. Eugene Washington	Mgmt	For	For
1L.	Election of Director: Mark A. Weinberger	Mgmt	For	For
1M.	Election of Director: Nadja Y. West	Mgmt	For	For
1N.	Election of Director: Ronald A. Williams	Mgmt	For	For

2.	Advisory Vote to Approve Named Executive Officer Compensation.	Mgmt	For	For
3.	Ratification of Appointment of PricewaterhouseCoopers LLP as the Independent Registered Public Accounting Firm for 2021.	Mgmt	For	For
4.	Report on Government Financial Support and Access to COVID-19 Vaccines and Therapeutics.	Shr	Against	For
5.	Independent Board Chair.	Shr	Against	For
6.	Civil Rights Audit.	Shr	Against	For
7.	Executive Compensation Bonus Deferral.	Shr	Against	For

MEDTRONIC PLC

Security: G5960L103

Ticker: MDT

ISIN: IE00BTN1Y115

Agenda Number: 935288286

Meeting Type: Annual

Meeting Date: 12-11-2020

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Richard H. Anderson	Mgmt	For	For
1B.	Election of Director: Craig Arnold	Mgmt	For	For
1C.	Election of Director: Scott C. Donnelly	Mgmt	For	For
1D.	Election of Director: Andrea J. Goldsmith, Ph.D.	Mgmt	For	For
1E.	Election of Director: Randall J. Hogan, III	Mgmt	For	For
1F.	Election of Director: Michael O. Leavitt	Mgmt	For	For
1G.	Election of Director: James T. Lenehan	Mgmt	For	For
1H.	Election of Director: Kevin E. Lofton	Mgmt	For	For
1I.	Election of Director: Geoffrey S. Martha	Mgmt	For	For
1J.	Election of Director: Elizabeth G. Nabel, M.D.	Mgmt	For	For
1K.	Election of Director: Denise M. O'Leary	Mgmt	For	For
1L.	Election of Director: Kendall J. Powell	Mgmt	For	For
2.	To ratify, in a non-binding vote, the appointment of PricewaterhouseCoopers LLP as the Company's independent auditor for fiscal year 2021 and to authorize, in a binding vote, the Board of Directors, acting through the Audit Committee, to set the auditor's remuneration.	Mgmt	For	For
3.	To approve, in a non-binding advisory vote, named executive officer compensation (a "Say-on-Pay" vote).	Mgmt	For	For
4.	To renew the Board's authority to issue shares.	Mgmt	For	For
5.	To renew the Board's authority to opt out of pre-emption rights.	Mgmt	For	For
6.	Authorizing the Company and any subsidiary of the Company to make overseas market purchases of Medtronic ordinary shares.	Mgmt	For	For

MERCK & CO., INC.

Security: 58933Y105

Ticker: MRK

Agenda Number: 935381044

Meeting Type: Annual

ISIN: US58933Y1055

Meeting Date: 5-25-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Leslie A. Brun	Mgmt	For	For
1B.	Election of Director: Mary Ellen Coe	Mgmt	For	For
1C.	Election of Director: Pamela J. Craig	Mgmt	For	For
1D.	Election of Director: Kenneth C. Frazier	Mgmt	For	For
1E.	Election of Director: Thomas H. Glocer	Mgmt	For	For
1F.	Election of Director: Risa J. Lavizzo-Mourey	Mgmt	For	For
1G.	Election of Director: Stephen L. Mayo	Mgmt	For	For
1H.	Election of Director: Paul B. Rothman	Mgmt	For	For
1I.	Election of Director: Patricia F. Russo	Mgmt	For	For
1J.	Election of Director: Christine E. Seidman	Mgmt	For	For
1K.	Election of Director: Inge G. Thulin	Mgmt	For	For
1L.	Election of Director: Kathy J. Warden	Mgmt	For	For
1M.	Election of Director: Peter C. Wendell	Mgmt	For	For
2.	Non-binding advisory vote to approve the compensation of our named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of the Company's independent registered public accounting firm for 2021.	Mgmt	For	For
4.	Shareholder proposal concerning a shareholder right to act by written consent.	Shr	Against	For
5.	Shareholder proposal regarding access to COVID-19 products.	Shr	Against	For

NOVARTIS AG

Security: 66987V109

Ticker: NVS

ISIN: US66987V1098

Agenda Number: 935332584

Meeting Type: Annual

Meeting Date: 3-2-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2020 Financial Year.	Mgmt	For	For
2.	Discharge from Liability of the Members of the Board of Directors and the Executive Committee.	Mgmt	For	For
3.	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend for 2020.	Mgmt	For	For
4.	Reduction of Share Capital.	Mgmt	For	For
5.	Further Share Repurchases.	Mgmt	For	For
6A.	Binding Vote on the Maximum Aggregate Amount of Compensation for the Board of Directors from the 2021 Annual General Meeting to the 2022 Annual General Meeting.	Mgmt	For	For

6B.	Binding Vote on the Maximum Aggregate Amount of Compensation for the Executive Committee for the Financial Year 2022.	Mgmt	For	For
6C.	Advisory Vote on the 2020 Compensation Report.	Mgmt	For	For
7A.	Re-election of Joerg Reinhardt as Member and Chairman.	Mgmt	For	For
7B.	Re-election of Nancy C. Andrews	Mgmt	For	For
7C.	Re-election of Ton Buechner	Mgmt	For	For
7D.	Re-election of Patrice Bula	Mgmt	For	For
7E.	Re-election of Elizabeth Doherty	Mgmt	For	For
7F.	Re-election of Ann Fudge	Mgmt	For	For
7G.	Re-election of Bridgette Heller	Mgmt	For	For
7H.	Re-election of Frans van Houten	Mgmt	For	For
7I.	Re-election of Simon Moroney	Mgmt	For	For
7J.	Re-election of Andreas von Planta	Mgmt	For	For
7K.	Re-election of Charles L. Sawyers	Mgmt	For	For
7L.	Re-election of Enrico Vanni	Mgmt	For	For
7M.	Re-election of William T. Winters	Mgmt	For	For
8A.	Re-election of Patrice Bula to the Compensation Committee.	Mgmt	For	For
8B.	Re-election of Bridgette Heller to the Compensation Committee.	Mgmt	For	For
8C.	Re-election of Enrico Vanni to the Compensation Committee.	Mgmt	For	For
8D.	Re-Election of William T. Winters to the Compensation Committee.	Mgmt	For	For
8E.	Election of Simon Moroney to the Compensation Committee.	Mgmt	For	For
9.	Re-election of the Statutory Auditor.	Mgmt	For	For
10.	Re-election of the Independent Proxy.	Mgmt	For	For
11.	Amendment to Article 20 Paragraph 3 of the Articles of Incorporation.	Mgmt	For	For
12.	General instructions in case of alternative motions under the agenda items published in the Notice of Annual General Meeting, and/or of motions relating to additional agenda items according to Article 700 paragraph 3 of the Swiss Code of Obligations.	Mgmt	For	For

PFIZER INC.

Security: 717081103

Ticker: PFE

ISIN: US7170811035

Agenda Number: 935344503

Meeting Type: Annual

Meeting Date: 4-22-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Ronald E. Blaylock	Mgmt	For	For
1B.	Election of Director: Albert Bourla	Mgmt	For	For
1C.	Election of Director: Susan Desmond-Hellmann	Mgmt	For	For
1D.	Election of Director: Joseph J. Echevarria	Mgmt	For	For
1E.	Election of Director: Scott Gottlieb	Mgmt	For	For

1F.	Election of Director: Helen H. Hobbs	Mgmt	For	For
1G.	Election of Director: Susan Hockfield	Mgmt	For	For
1H.	Election of Director: Dan R. Littman	Mgmt	For	For
1I.	Election of Director: Shantanu Narayen	Mgmt	For	For
1J.	Election of Director: Suzanne Nora Johnson	Mgmt	For	For
1K.	Election of Director: James Quincey	Mgmt	For	For
1L.	Election of Director: James C. Smith	Mgmt	For	For
2.	Ratify the selection of KPMG LLP as independent registered public accounting firm for 2021.	Mgmt	For	For
3.	2021 advisory approval of executive compensation.	Mgmt	For	For
4.	Shareholder proposal regarding independent chair policy.	Shr	Against	For
5.	Shareholder proposal regarding political spending report.	Shr	Against	For
6.	Shareholder proposal regarding access to COVID-19 products.	Shr	Against	For

REGENERON PHARMACEUTICALS, INC.

Security: 75886F107

Ticker: REGN

ISIN: US75886F1075

Agenda Number: 935414627

Meeting Type: Annual

Meeting Date: 6-11-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: N. Anthony Coles, M.D.	Mgmt	For	For
1B.	Election of Director: Arthur F. Ryan	Mgmt	For	For
1C.	Election of Director: George L. Sing	Mgmt	For	For
1D.	Election of Director: Marc Tessier-Lavigne, Ph.D.	Mgmt	For	For
2.	Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

SANOFI

Security: 80105N105

Ticker: SNY

ISIN: US80105N1054

Agenda Number: 935384848

Meeting Type: Annual

Meeting Date: 4-30-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	Approval of the individual company financial statements for the year ended December 31, 2020.	Mgmt	For	For
2.	Approval of the consolidated financial statements for the year ended December 31, 2020.	Mgmt	For	For

3.	Appropriation of results for the year ended December 31, 2020 and declaration of dividend.	Mgmt	For	For
4.	Ratification of the co-opting of Gilles Schnepf as a director.	Mgmt	For	For
5.	Reappointment of Fabienne Lecorvaisier as a Director.	Mgmt	For	For
6.	Reappointment of Melanie Lee as a director.	Mgmt	For	For
7.	Appointment of Barbara Lavernos as a director.	Mgmt	For	For
8.	Approval of the report on the compensation of corporate officers issued in accordance with Article L. 22-10-9 of the French Commercial Code.	Mgmt	For	For
9.	Approval of the components of the compensation paid or awarded in respect of the year ended December 31, 2020 to Serge Weinberg, Chairman of the Board.	Mgmt	For	For
10.	Approval of the components of the compensation paid or awarded in respect of the year ended December 31, 2020 to Paul Hudson, Chief Executive Officer.	Mgmt	For	For
11.	Approval of the compensation policy for directors.	Mgmt	For	For
12.	Approval of the compensation policy for the Chairman of the Board of Directors.	Mgmt	For	For
13.	Approval of the compensation policy for the Chief Executive Officer.	Mgmt	For	For
14.	Authorization to the Board of Directors to carry out transactions in the Company's shares (usable outside the period of a public tender offer).	Mgmt	For	For
15.	Authorization to the Board of Directors to reduce the share capital by cancellation of treasury shares.	Mgmt	For	For
16.	Delegation to the Board of Directors of competence to decide to ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
17.	Delegation to the Board of Directors of competence to decide to ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
18.	Delegation to the Board of Directors of competence to decide to ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
19.	Delegation to the Board of Directors of competence to decide to ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
20.	Delegation to the Board of Directors of competence to increase ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
21.	Delegation to the Board of Directors of competence with a view ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For

22.	Delegation to the Board of Directors of competence to decide to ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
23.	Delegation to the Board of Directors of competence to decide on ...(due to space limits, see proxy statement for full proposal).	Mgmt	For	For
24.	Authorization to the Board of Directors to carry out consideration-free allotments of existing or new shares to some or all of the salaried employees and corporate officers of the Group.	Mgmt	For	For
25.	Amendment to Article 13 of the Articles of Association to allow the Board of Directors to take decisions by written consultation.	Mgmt	For	For
26.	Amendment to Articles 14 and 17 of the Articles of Association to align their content with the PACTE law.	Mgmt	For	For
27.	Powers for formalities.	Mgmt	For	For

STRYKER CORPORATION

Security: 863667101

Ticker: SYK

ISIN: US8636671013

Agenda Number: 935359972

Meeting Type: Annual

Meeting Date: 5-5-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A)	Election of Director: Mary K. Brainerd	Mgmt	For	For
1B)	Election of Director: Giovanni Caforio, M.D.	Mgmt	For	For
1C)	Election of Director: Srikant M. Datar, Ph.D.	Mgmt	For	For
1D)	Election of Director: Allan C. Golston (Lead Independent Director)	Mgmt	For	For
1E)	Election of Director: Kevin A. Lobo (Chair of the Board and Chief Executive Officer)	Mgmt	For	For
1F)	Election of Director: Sherilyn S. McCoy	Mgmt	For	For
1G)	Election of Director: Andrew K. Silvernail	Mgmt	For	For
1H)	Election of Director: Lisa M. Skeete Tatum	Mgmt	For	For
1I)	Election of Director: Ronda E. Stryker	Mgmt	For	For
1J)	Election of Director: Rajeev Suri	Mgmt	For	For
2.	Ratification of Appointment of our Independent Registered Public Accounting Firm.	Mgmt	For	For
3.	Advisory Vote to Approve Named Executive Officer Compensation.	Mgmt	For	For
4.	Shareholder Proposal Regarding Workforce Involvement in Corporate Governance.	Shr	Against	For
5.	Shareholder Proposal Regarding Right to Call Special Meetings	Shr	Against	For

THERMO FISHER SCIENTIFIC INC.

Security: 883556102

Ticker: TMO

ISIN: US8835561023

Agenda Number: 935375736

Meeting Type: Annual

Meeting Date: 5-19-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Marc N. Casper	Mgmt	For	For
1B.	Election of Director: Nelson J. Chai	Mgmt	For	For
1C.	Election of Director: C. Martin Harris	Mgmt	For	For
1D.	Election of Director: Tyler Jacks	Mgmt	For	For
1E.	Election of Director: R. Alexandra Keith	Mgmt	For	For
1F.	Election of Director: Thomas J. Lynch	Mgmt	For	For
1G.	Election of Director: Jim P. Manzi	Mgmt	For	For
1H.	Election of Director: James C. Mullen	Mgmt	For	For
1I.	Election of Director: Lars R. Sørensen	Mgmt	For	For
1J.	Election of Director: Debora L. Spar	Mgmt	For	For
1K.	Election of Director: Scott M. Sperling	Mgmt	For	For
1L.	Election of Director: Dion J. Weisler	Mgmt	For	For
2.	An advisory vote to approve named executive officer compensation.	Mgmt	For	For
3.	Ratification of the Audit Committee's selection of PricewaterhouseCoopers LLP as the Company's independent auditors for 2021.	Mgmt	For	For
4.	A shareholder Proposal regarding special Shareholder Meetings.	Shr	Against	For

UNITEDHEALTH GROUP INCORPORATED

Security: 91324P102

Ticker: UNH

ISIN: US91324P1021

Agenda Number: 935414879

Meeting Type: Annual

Meeting Date: 6-7-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Richard T. Burke	Mgmt	For	For
1B.	Election of Director: Timothy P. Flynn	Mgmt	For	For
1C.	Election of Director: Stephen J. Hemsley	Mgmt	For	For
1D.	Election of Director: Michele J. Hooper	Mgmt	For	For
1E.	Election of Director: F. William McNabb III	Mgmt	For	For
1F.	Election of Director: Valerie C. Montgomery Rice, M.D.	Mgmt	For	For
1G.	Election of Director: John H. Noseworthy, M.D.	Mgmt	For	For
1H.	Election of Director: Gail R. Wilensky, Ph.D.	Mgmt	For	For
1I.	Election of Director: Andrew Witty	Mgmt	For	For
2.	Advisory approval of the Company's executive compensation.	Mgmt	For	For
3.	Ratification of the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for the Company for the year ending December 31, 2021.	Mgmt	For	For
4.	Approval of an amendment to the UnitedHealth Group 1993 Employee Stock Purchase Plan.	Mgmt	For	For

5. If properly presented at the 2021 Annual Meeting of Shareholders, the shareholder proposal set forth in the proxy statement requesting a reduction of the share ownership threshold for calling a special meeting of shareholders.
- Shr Against For

ZOETIS INC.	
Security: 98978V103	Agenda Number: 935383252
Ticker: ZTS	Meeting Type: Annual
ISIN: US98978V1035	Meeting Date: 5-20-2021

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Sanjay Khosla	Mgmt	For	For
1B.	Election of Director: Antoinette R. Leatherberry	Mgmt	For	For
1C.	Election of Director: Willie M. Reed	Mgmt	For	For
1D.	Election of Director: Linda Rhodes	Mgmt	For	For
2.	Advisory vote to approve our executive compensation (Say on Pay).	Mgmt	For	For
3.	Ratification of appointment of KPMG LLP as our independent registered public accounting firm for 2021.	Mgmt	For	For
4.	Shareholder proposal regarding simple majority vote.	Shr	Against	For