

# Harvest Brand Leaders Plus Income ETF

## QUARTERLY PORTFOLIO DISCLOSURE

as at September 30, 2021

### Total Net Asset Value

The total net asset value of the Harvest Brand Leaders Plus Income ETF was \$308,954,606.

### Summary of Investment Portfolio

<b>Sector Allocation</b>	<b>% of Net Asset Value</b>
Information Technology	24.8
Communication Services	15.3
Financials	15.3
Health Care	9.9
Consumer Staples	9.8
Consumer Discretionary	9.7
Industrials	9.3
Energy	5.1
<b>Total Portfolio Assets</b>	<b>99.2</b>
Cash and other assets and liabilities	1.0
Foreign currency forwards	(0.2)
<b>Net Asset Value</b>	<b>100.0</b>

<b>Portfolio Allocation</b>	<b>% of Net Asset Value</b>
Common Shares	94.4
ADRs	5.3
Equity options	(0.5)
<b>Total Portfolio Assets</b>	<b>99.2</b>
Cash and other assets and liabilities	1.0
Foreign currency forwards	(0.2)
<b>Net Asset Value</b>	<b>100.0</b>

### Portfolio Breakdown

<b>Top Holdings</b>	<b>% of Net Asset Value</b>
JPMorgan Chase & Co.	5.4
McDonald's Corporation	5.3
Royal Dutch Shell PLC	5.3
Alphabet Inc.	5.2
Microsoft Corporation	5.1
Citigroup Inc.	5.1
Verizon Communications Inc.	5.1
The Walt Disney Company	5.1
Accenture PLC	5.0
Apple Inc.	5.0
Intel Corporation	5.0
Johnson & Johnson	5.0
PepsiCo, Inc.	5.0
Morgan Stanley	4.9
UnitedHealth Group Incorporated	4.9
Caterpillar Inc.	4.8
The Procter & Gamble Company	4.8
Visa Inc.	4.8
United Parcel Service, Inc.	4.5
NIKE, Inc.	4.4
Cash and other assets and liabilities	1.0
Foreign currency forwards	(0.2)
Equity options	(0.5)

The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund. This quarterly update is available on our website at [www.harvestportfolios.com](http://www.harvestportfolios.com).

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