

# Harvest US Bank Leaders Income ETF

## QUARTERLY PORTFOLIO DISCLOSURE

as at September 30, 2021

### Total Net Asset Value

The total net asset value of the Harvest US Bank Leaders Income ETF was \$33,767,030.

### Summary of Investment Portfolio

<i>Sector Allocation</i>	<i>% of Net Asset Value</i>
Banks	84.6
Capital Markets	11.4
<b>Total Portfolio Assets</b>	<b>96.0</b>
Cash and other assets and liabilities	4.3
Foreign currency forwards	(0.3)
<b>Net Asset Value</b>	<b>100.0</b>

<i>Portfolio Allocation</i>	<i>% of Net Asset Value</i>
Common Shares	97.6
Equity options	(1.6)
<b>Total Portfolio Assets</b>	<b>96.0</b>
Cash and other assets and liabilities	4.3
Foreign currency forwards	(0.3)
<b>Net Asset Value</b>	<b>100.0</b>

### Portfolio Breakdown

<i>Top Holdings</i>	<i>% of Net Asset Value</i>
Citizens Financial Group, Inc.	6.7
M&T Bank Corporation	6.7
KeyCorp	6.5
The PNC Financial Services Group, Inc.	6.4
Regions Financial Corporation	6.4
Truist Financial Corporation	6.3
U.S. Bancorp	6.3
Bank of America Corporation	6.3
JPMorgan Chase & Co.	6.3
First Republic Bank	6.0
Citigroup Inc.	5.9
Wells Fargo & Company	5.9
Morgan Stanley	5.8
Fifth Third Bancorp	5.7
The Goldman Sachs Group, Inc.	5.7
Huntington Bancshares Incorporated	4.7
Cash and other assets and liabilities	4.3
Foreign currency forwards	(0.3)
Equity options	(1.6)

The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund. This quarterly update is available on our website at [www.harvestportfolios.com](http://www.harvestportfolios.com).

#### Customer Service

416.649.4541  
Toll: 1.866.998.8298

#### Address

610 Chartwell Road  
Suite 204  
Oakville, ON  
L6J 4A5



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