

# VOTE SUMMARY REPORT

Date range covered : 07/01/2021 to 06/30/2022

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): BIG PHARMA SPLIT CORP.

## Novartis AG

**Meeting Date:** 03/04/2022

**Country:** Switzerland

**Ticker:** NOVN

**Record Date:** 01/25/2022

**Meeting Type:** Annual

**Primary Security ID:** H5820Q150

**Shares Voted:** 30,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Discharge of Board and Senior Management	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of CHF 3.10 per Share	Mgmt	For	For	For
4	Approve CHF 15.3 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
5	Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	For	For	For
6.1	Approve Remuneration of Directors in the Amount of CHF 8.6 Million	Mgmt	For	For	For
6.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 91 Million	Mgmt	For	For	For
6.3	Approve Remuneration Report	Mgmt	For	For	For
7.1	Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	For	For	For
7.2	Reelect Nancy Andrews as Director	Mgmt	For	For	For
7.3	Reelect Ton Buechner as Director	Mgmt	For	For	For
7.4	Reelect Patrice Bula as Director	Mgmt	For	For	For
7.5	Reelect Elizabeth Doherty as Director	Mgmt	For	For	For
7.6	Reelect Bridgette Heller as Director	Mgmt	For	For	For
7.7	Reelect Frans van Houten as Director	Mgmt	For	For	For
7.8	Reelect Simon Moroney as Director	Mgmt	For	For	For
7.9	Reelect Andreas von Planta as Director	Mgmt	For	For	For
7.10	Reelect Charles Sawyers as Director	Mgmt	For	For	For
7.11	Reelect William Winters as Director	Mgmt	For	For	For
7.12	Elect Ana de Pro Gonzalo as Director	Mgmt	For	For	For
7.13	Elect Daniel Hochstrasser as Director	Mgmt	For	For	For
8.1	Reappoint Patrice Bula as Member of the Compensation Committee	Mgmt	For	For	For

## Novartis AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.2	Reappoint Bridgette Heller as Member of the Compensation Committee	Mgmt	For	For	For
8.3	Reappoint Simon Moroney as Member of the Compensation Committee	Mgmt	For	For	For
8.4	Reappoint William Winters as Member of the Compensation Committee	Mgmt	For	For	For
9	Ratify KPMG AG as Auditors	Mgmt	For	For	For
10	Designate Peter Zahn as Independent Proxy	Mgmt	For	For	For
11	Transact Other Business (Voting)	Mgmt	For	Against	Against

## Johnson & Johnson

**Meeting Date:** 04/28/2022      **Country:** USA      **Ticker:** JNJ  
**Record Date:** 03/01/2022      **Meeting Type:** Annual  
**Primary Security ID:** 478160104

Shares Voted: 16,770

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Darius Adamczyk	Mgmt	For	For	For
1b	Elect Director Mary C. Beckerle	Mgmt	For	For	For
1c	Elect Director D. Scott Davis	Mgmt	For	For	For
1d	Elect Director Ian E. L. Davis	Mgmt	For	For	For
1e	Elect Director Jennifer A. Doudna	Mgmt	For	For	For
1f	Elect Director Joaquin Duato	Mgmt	For	For	For
1g	Elect Director Alex Gorsky	Mgmt	For	For	For
1h	Elect Director Marillyn A. Hewson	Mgmt	For	For	For
1i	Elect Director Hubert Joly	Mgmt	For	For	For
1j	Elect Director Mark B. McClellan	Mgmt	For	For	For
1k	Elect Director Anne M. Mulcahy	Mgmt	For	For	For
1l	Elect Director A. Eugene Washington	Mgmt	For	For	For
1m	Elect Director Mark A. Weinberger	Mgmt	For	For	For
1n	Elect Director Nadja Y. West	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Adopt a Mandatory Arbitration Bylaw *Withdrawn Resolution*	SH			

## Johnson & Johnson

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Report on a Civil Rights, Equity, Diversity and Inclusion Audit	SH	Against	Against	Against
7	Oversee and Report a Racial Equity Audit	SH	Against	For	For
8	Report on Government Financial Support and Access to COVID-19 Vaccines and Therapeutics	SH	Against	For	For
9	Report on Public Health Costs of Limited Sharing of Vaccine Technology	SH	Against	Against	Against
10	Discontinue Global Sales of Baby Powder Containing Talc	SH	Against	Against	Against
11	Report on Charitable Contributions	SH	Against	Against	Against
12	Publish Third-Party Review of Alignment of Company's Lobbying Activities with its Public Statements	SH	Against	For	For
13	Adopt Policy to Include Legal and Compliance Costs in Incentive Compensation Metrics	SH	Against	For	For
14	Consider Pay Disparity Between Executives and Other Employees	SH	Against	Against	Against

## Pfizer Inc.

**Meeting Date:** 04/28/2022

**Country:** USA

**Ticker:** PFE

**Record Date:** 03/02/2022

**Meeting Type:** Annual

**Primary Security ID:** 717081103

**Shares Voted:** 56,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Ronald E. Blaylock	Mgmt	For	For	For
1.2	Elect Director Albert Bourla	Mgmt	For	For	For
1.3	Elect Director Susan Desmond-Hellmann	Mgmt	For	For	For
1.4	Elect Director Joseph J. Echevarria	Mgmt	For	For	For
1.5	Elect Director Scott Gottlieb	Mgmt	For	For	For
1.6	Elect Director Helen H. Hobbs	Mgmt	For	For	For
1.7	Elect Director Susan Hockfield	Mgmt	For	For	For
1.8	Elect Director Dan R. Littman	Mgmt	For	For	For
1.9	Elect Director Shantanu Narayen	Mgmt	For	For	For
1.10	Elect Director Suzanne Nora Johnson	Mgmt	For	For	For
1.11	Elect Director James Quincey	Mgmt	For	For	For
1.12	Elect Director James C. Smith	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

## Pfizer Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Amend Proxy Access Right	SH	Against	For	For
5	Report on Congruency of Political Electioneering Expenditures with Company Values and Policies	SH	Against	Against	Against
6	Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Against	For	For
7	Report on Board Oversight of Risks Related to Anticompetitive Practices	SH	Against	For	For
8	Report on Public Health Costs of Limited Sharing of Vaccine Technology	SH	Against	Against	Against

## AstraZeneca Plc

**Meeting Date:** 04/29/2022

**Country:** United Kingdom

**Ticker:** AZN

**Record Date:** 04/07/2022

**Meeting Type:** Annual

**Primary Security ID:** G0593M107

**Shares Voted:** 48,450

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Dividends	Mgmt	For	For	For
3	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
5a	Re-elect Leif Johansson as Director	Mgmt	For	For	For
5b	Re-elect Pascal Soriot as Director	Mgmt	For	For	For
5c	Elect Aradhana Sarin as Director	Mgmt	For	For	For
5d	Re-elect Philip Broadley as Director	Mgmt	For	For	For
5e	Re-elect Euan Ashley as Director	Mgmt	For	For	For
5f	Re-elect Michel Demare as Director	Mgmt	For	For	For
5g	Re-elect Deborah DiSanzo as Director	Mgmt	For	For	For
5h	Re-elect Diana Layfield as Director	Mgmt	For	For	For
5i	Re-elect Sheri McCoy as Director	Mgmt	For	For	For
5j	Re-elect Tony Mok as Director	Mgmt	For	For	For
5k	Re-elect Nazneen Rahman as Director	Mgmt	For	For	For
5l	Elect Andreas Rummelt as Director	Mgmt	For	For	For

## AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5m	Re-elect Marcus Wallenberg as Director	Mgmt	For	For	For
6	Approve Remuneration Report	Mgmt	For	For	For
7	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
8	Authorise Issue of Equity	Mgmt	For	For	For
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
10	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
11	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
12	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
13	Approve Savings Related Share Option Scheme	Mgmt	For	For	For

## Eli Lilly and Company

**Meeting Date:** 05/02/2022

**Country:** USA

**Ticker:** LLY

**Record Date:** 02/22/2022

**Meeting Type:** Annual

**Primary Security ID:** 532457108

**Shares Voted:** 11,850

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Ralph Alvarez	Mgmt	For	For	For
1b	Elect Director Kimberly H. Johnson	Mgmt	For	For	For
1c	Elect Director Juan R. Luciano	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Declassify the Board of Directors	Mgmt	For	For	For
5	Eliminate Supermajority Voting Provisions	Mgmt	For	For	For
6	Amend Articles of Incorporation to Allow Shareholders to Amend Bylaws	Mgmt	For	For	For
7	Require Independent Board Chair	SH	Against	For	For
8	Report on Lobbying Payments and Policy	SH	Against	For	For
9	Publish Third-Party Review of Alignment of Company's Lobbying Activities with its Public Statements	SH	Against	For	For
10	Report on Board Oversight of Risks Related to Anticompetitive Pricing Strategies	SH	Against	For	For

# Bristol-Myers Squibb Company

**Meeting Date:** 05/03/2022

**Country:** USA

**Ticker:** BMY

**Record Date:** 03/14/2022

**Meeting Type:** Annual

**Primary Security ID:** 110122108

**Shares Voted:** 42,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1A	Elect Director Peter J. Arduini	Mgmt	For	For	For
1B	Elect Director Giovanni Caforio	Mgmt	For	For	For
1C	Elect Director Julia A. Haller	Mgmt	For	For	For
1D	Elect Director Manuel Hidalgo Medina	Mgmt	For	For	For
1E	Elect Director Paula A. Price	Mgmt	For	For	For
1F	Elect Director Derica W. Rice	Mgmt	For	For	For
1G	Elect Director Theodore R. Samuels	Mgmt	For	For	For
1H	Elect Director Gerald L. Storch	Mgmt	For	For	For
1I	Elect Director Karen H. Vousden	Mgmt	For	For	For
1J	Elect Director Phyllis R. Yale	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
5	Require Independent Board Chair	SH	Against	For	For

# Sanofi

**Meeting Date:** 05/03/2022

**Country:** France

**Ticker:** SAN

**Record Date:** 04/04/2022

**Meeting Type:** Annual/Special

**Primary Security ID:** F5548N101

**Shares Voted:** 53,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of EUR 3.33 per Share	Mgmt	For	For	For
4	Reelect Paul Hudson as Director	Mgmt	For	For	For
5	Reelect Christophe Babule as Director	Mgmt	For	For	For

## Sanofi

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Reelect Patrick Kron as Director	Mgmt	For	For	For
7	Reelect Gilles Schnepf as Director	Mgmt	For	For	For
8	Elect Carole Ferrand as Director	Mgmt	For	For	For
9	Elect Emile Voest as Director	Mgmt	For	For	For
10	Elect Antoine Yver as Director	Mgmt	For	For	For
11	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For
12	Approve Compensation of Serge Weinberg, Chairman of the Board	Mgmt	For	For	For
13	Approve Compensation of Paul Hudson, CEO	Mgmt	For	For	For
14	Approve Remuneration Policy of Directors	Mgmt	For	For	For
15	Approve Remuneration Policy of Chairman of the Board	Mgmt	For	For	For
16	Approve Remuneration Policy of CEO	Mgmt	For	For	For
17	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
18	Amend Article 25 of Bylaws Re: Dividends	Mgmt	For	For	For
19	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

## AbbVie Inc.

**Meeting Date:** 05/06/2022      **Country:** USA      **Ticker:** ABBV  
**Record Date:** 03/07/2022      **Meeting Type:** Annual  
**Primary Security ID:** 00287Y109

Shares Voted: 20,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director William H.L. Burnside	Mgmt	For	For	For
1.2	Elect Director Thomas C. Freyman	Mgmt	For	For	For
1.3	Elect Director Brett J. Hart	Mgmt	For	For	For
1.4	Elect Director Edward J. Rapp	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For
5	Require Independent Board Chair	SH	Against	Against	Against
6	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	For	For

## AbbVie Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Report on Board Oversight of Risks Related to Anticompetitive Practices	SH	Against	For	For
8	Report on Congruency of Political Spending with Company Values and Priorities	SH	Against	For	For

## Amgen Inc.

**Meeting Date:** 05/17/2022      **Country:** USA      **Ticker:** AMGN  
**Record Date:** 03/18/2022      **Meeting Type:** Annual  
**Primary Security ID:** 031162100

**Shares Voted:** 12,410

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Wanda M. Austin	Mgmt	For	For	For
1b	Elect Director Robert A. Bradway	Mgmt	For	For	For
1c	Elect Director Brian J. Druker	Mgmt	For	For	For
1d	Elect Director Robert A. Eckert	Mgmt	For	For	For
1e	Elect Director Greg C. Garland	Mgmt	For	For	For
1f	Elect Director Charles M. Holley, Jr.	Mgmt	For	For	For
1g	Elect Director S. Omar Ishrak	Mgmt	For	For	For
1h	Elect Director Tyler Jacks	Mgmt	For	For	For
1i	Elect Director Ellen J. Kullman	Mgmt	For	For	For
1j	Elect Director Amy E. Miles	Mgmt	For	For	For
1k	Elect Director Ronald D. Sugar	Mgmt	For	For	For
1l	Elect Director R. Sanders Williams	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

## Merck & Co., Inc.

**Meeting Date:** 05/24/2022      **Country:** USA      **Ticker:** MRK  
**Record Date:** 03/25/2022      **Meeting Type:** Annual  
**Primary Security ID:** 58933Y105

**Shares Voted:** 36,900

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Douglas M. Baker, Jr.	Mgmt	For	For	For
1b	Elect Director Mary Ellen Coe	Mgmt	For	For	For



# Merck & Co., Inc.

<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Voting Policy Rec</b>	<b>Vote Instruction</b>
1c	Elect Director Pamela J. Craig	Mgmt	For	For	For
1d	Elect Director Robert M. Davis	Mgmt	For	For	For
1e	Elect Director Kenneth C. Frazier	Mgmt	For	For	For
1f	Elect Director Thomas H. Gloner	Mgmt	For	For	For
1g	Elect Director Risa J. Lavizzo-Mourey	Mgmt	For	For	For
1h	Elect Director Stephen L. Mayo	Mgmt	For	For	For
1i	Elect Director Paul B. Rothman	Mgmt	For	For	For
1j	Elect Director Patricia F. Russo	Mgmt	For	For	For
1k	Elect Director Christine E. Seidman	Mgmt	For	For	For
1l	Elect Director Inge G. Thulin	Mgmt	For	For	For
1m	Elect Director Kathy J. Warden	Mgmt	For	For	For
1n	Elect Director Peter C. Wendell	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Require Independent Board Chair	SH	Against	Against	Against
5	Report on Access to COVID-19 Products	SH	Against	For	For
6	Report on Lobbying Payments and Policy	SH	Against	Against	Against